## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MICHAEL V. KONSHAK

Serial No.: TO BE ASSIGNED

Filed: Concurrently Herewith

Attorney Docket No.: 2003-040-DSK

For: SMALL FORM FACTOR DISK DRIVE CARRIER

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)(1)

Mail Stop Patent Application Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(b)(1).

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

If applicable, a copy of each reference listed on the attached Form PTO/SB08B or any foreign cited art is included herewith. Consideration and entry into the record of these references is respectfully requested.

Respectfully submitted,

MICHAEL V. KONSHAK

By: Timothy R. Schulte

Reg. No. 29,013

Date: November 10, 2003 Attorney/Agent for Applicant

STORAGE TECHNOLOGY CORPORATION

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Substitute for Form 1449A/PTO				Complete if Known		
				Application Number	TO BE ASSIGNED	
	RMATION E			Filing Date	Concurrently Herewith	
STATEMENT BY APPLICANT				First Named Inv ntor	Michael V. Konshak	
				Group Art Unit	Unknown	
(use as many sheets as necessary)				Examiner Name	Unknown	
Sheet	1	of	1	Attorney Docket Number	2003-040-DSK	

	U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.1	U.S. PATENT D	OCUMENT Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		5,136,466		Remise et al.	8-4-1992		
		5,734,557		McAnally et al.	3-31-1998		
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	FOREIGN PATENT DOCUMENTS								
Examiner		000 3	Foreign Patent Doo	Kind Code⁵	Name of Patentee or	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁰	
Initials*	No.¹	Office <sup>3</sup>	Number 4	(if known)	Applicant of Cited Document	IVIIVI-DD-TTTT	riguies Appeal	$\dashv$	
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Signature		Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.